

12/18/01

31058 U.S. PTO



# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under  
37 C.F.R. § 1.53(b)

Attorney Docket No.: AESN3005

First Inventor: Pham, Duc

Title: Network Media Encryption Architecture and  
Methods for Secure Storage

Express Mail Label: EV 003 785 696 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application  
contents.

ADDRESS  
TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims Small Entity Status
3. ☒ Specification [Total Pages 53]
4. ☒ Drawings [Total Sheets 9]
5. ☒ Declaration [Total Pages 3]
  - a. ☒ Newly Executed
  - b. ☐ Copy from a prior application
    - i. ☐ Deletion of Inventor(s)
6. ☐ Application Data Sheet
7. ☐ Microfiche Computer Program  
(Appendix)
8. ☐ Nucleotide and/or Amino Acid Seq.

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers
10. ☐ 37 C.F.R. § 3.73(b) Statement  
☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ IDS and IDS Citation Copies
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Doc(s)
16. ☐ Nonpublication Request under 35  
USC 122 (b)(2)(B)(i). Must attach  
form PTO/SB/35
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the  
requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) ☐ Provisional

Prior Application No: \_\_\_\_\_ Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_ Group/ Art Unit: \_\_\_\_\_

Title: \_\_\_\_\_

- The accompanying Applications Papers are a true copy of the prior complete  
application identified above.
- 18a. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.120 from the prior complete  
application identified above.
  - 18b. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application  
identified above.
  - 18c. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application  
identified above.

## 19. Correspondence Address

☒ Customer Number **23488**

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PATENT TRADEMARK OFFICE

Name Gerald B. Rosenberg Reg. No: 30,320

Signature

*Gerald B. Rosenberg*

Date: Dec 3, 2001





OLD S.N. 0925F

# FEE TRANSMITTAL for FY 2001

Application Number:	N/A
Filing Date:	December 3, 2001
First Inventor:	Pham, Duc
Examiner Name:	
Art Unit:	
Total Amount of Payment	\$ 682.00
Attorney Docket No.:	AESN3005

METHOD OF PAYMENT	3. ADDITIONAL FEES																																																																					
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number: <u>50-0890</u>  Deposit Account Name: <u>Gerald B. Rosenberg</u></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See, 37 C.F.R. 1.27</p> <p><input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check      <input type="checkbox"/> Other</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>130.00</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50.00</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130.00</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520.00</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>110.00</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>400.00</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>920.00</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1440.00</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1960.00</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310.00</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310.00</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270.00</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1,510.00</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>110.00</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1,240.00</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1,280.00</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>130.00</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50.00</td><td>Processing fee under 37 C.F.R. 1.17(q)</td><td></td></tr> <tr><td>180.00</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>40.00</td><td>Recording each patent assignment per property</td><td></td></tr> <tr><td>710.00</td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td>710.00</td><td>For each additional invention to be examined</td><td></td></tr> <tr><td></td><td>Other</td><td></td></tr> </table>	130.00	Surcharge - late filing fee or oath		50.00	Surcharge - late provisional filing fee or cover sheet		130.00	Non-English specification		2,520.00	For filing a request for reexamination		110.00	Extension for reply within first month		400.00	Extension for reply within second month		920.00	Extension for reply within third month		1440.00	Extension for reply within fourth month		1960.00	Extension for reply within fifth month		310.00	Notice of Appeal		310.00	Filing a brief in support of an appeal		270.00	Request for oral hearing		1,510.00	Petition to institute a public use proceeding		110.00	Petition to revive - unavoidable		1,240.00	Petition to revive - unintentional		1,280.00	Utility issue fee (or reissue)		130.00	Petitions to the Commissioner		50.00	Processing fee under 37 C.F.R. 1.17(q)		180.00	Submission of Information Disclosure Statement		40.00	Recording each patent assignment per property		710.00	Filing a submission after final rejection		710.00	For each additional invention to be examined			Other	
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CORRESPONDENCE ADDRESS			
	<input checked="" type="checkbox"/> Customer Number <b>23488</b>	 <b>23488</b> PATENT TRADEMARK OFFICE	
Gerald B. Rosenberg NewTechLaw 285 Hamilton Avenue, Suite 520 Palo Alto, California 94301		Telephone: 650.325.2100 Facsimile: 650.325.2107 Email: gbr@newtechlaw.com	
Name:	Gerald B. Rosenberg	Registration No:	<u>30,320</u>
Signature:			Telephone: 650.325.2100 Date: December 3, 2001